# Measuring and Qualifying the Docsis Upstream Path

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# **My Business Card**

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#### Purpose

- Better understand how to make upstream signals and measurements
- What are the signal impairments on the reverse path



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#### Agenda

#### Return Path Measurements

- Spectrum Analysis
- Constellation
- MER and BER
- Adaptive Equalizer
- Case Study

#### Return Path Alignment

- Node Optimization
  - Laser link opitmization
  - Return receiver optimization
- Coaxial Plant Alignment and sweep
- Troubleshooting Hints



#### Why 64-QAM?

- Higher upstream data throughput required for:
  - Voice
  - Peer to Peer
  - Up to 120 Mbs for 4 bonded channels for DOCSIS 3 in the upstream
  - Competition
  - Business Services



#### **Upstream 64 QAM Challenges**

- Once interference occurs in voice the data cannot be retransmitted.
- Measurements are more difficult because the signals are bursty.
- 64 QAM looses 3 dB of headroom because the maximum modem output is 52 dBmV as opposed to 58 dBmV for QPSK.



#### More Upstream Challenges with 64 QAM

### 64 QAM is less robust than 16 QAM

- Requires better SNR and MER
- QAM means that the carrier is amplitude modulated and therefore more susceptible to amplitude based impairments such as:
  - Ingress
  - Micro-reflections
  - Compression



#### **Recommended Network Specifications**

- Part 76 of the FCC Rules
- DOCSIS for upstream and downstream
- NCTA Recommended Practices for upstream carriers



### Spectrum Analyzer and QAM Upstream Measurements at the headend

- Upstream Carrier Levels
- Spectrum Analysis
- Constellation Measurements and Diagnosis
  - MER, BER, and Constellation Analysis
- Upstream Linear Distortion Measurements
  - Group Delay
  - Amlitude Response





# Upstream Signal Measurements

#### Upstream Level Measurement The First Step

- Verify the upstream carrier amplitude at the input to the CMTS upstream port is within spec.
- Usually 0 dBmV at the input, some systems may vary.
  - Can be measured using peak power on the preamble of the carrier
- An average power measurement could also be made on a constant carrier injected at the correct level.
- Measure total power at the input to the CMTS (<35dBmV, TP)</li>



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# Spectrum Analysis -CNR -C/I

#### **DOCSIS Upstream RF Channel Transmission Characteristics**

Parameter	Value
Frequency range	5 to 42 MHz edge to edge
Transit delay from the most distant CM to the nearest CM or CMTS	<=0.800 msec (typically much less)
Carrier-to-noise ratio	Not less than 25 dB
Carrier-to-ingress power (the sum of discrete and broadband ingress signals) ratio	Not less than 25 dB
Carrier-to-interference (the sum of noise, distortion, common-path distortion, and cross- modulation) ratio	Not less than 25 dB
Carrier hum modulation	Not greater than –23 dBc (7%)
Burst noise	Not longer than 10 µsec at a 1 kHz average rate for most cases (Notes 3, 4, and 5)
Amplitude ripple	5-42 MHz: 0.5 dB/MHz
Group delay ripple	5-42 MHz: 200 ns/MHz
Micro-reflections single echo	-10 dBc@ <= 0.5 μsec -20 dBc@ <= 1.0 μsec -30 dBc@ > 1.0 μsec
Seasonal and diurnal signal level variation	Not greater than 8 dB min to max



#### **Upstream CNR**

- Check the upstream carrier-to-noise, carrier-toingress, and carrier-to-interference ratios
  - DOCSIS assumes a *minimum* of 25 dB for all three parameters
  - This is measured at the CMTS upstream port
- Remember that we loose 3 dB of dynamic range with 64 QAM at 6.4 MHz.
- CNR and SNR are different measurements!



#### **CNR or SNR**

CNR is a measurement performed on RF signals

•Raw carrier power to raw noise power in the RF transport path only

Ideal for characterizing network impairments

 SNR is a pre-modulation or post-detection measurement performed on baseband signals

 Includes noise in original signal, transmitter or modulator, transport path, and receiver & demodulator

 Ideal for characterizing end-to-end performance the overall signal quality seen by the end user



### **Upstream Spectrum Analysis**

- Make sure noise floor of system is being displayed 10 db out of the spectrum analyzer noise floor
- Use peak hold to capture transients
- Use Averaging to capture CPD



## Good CNR and C/I





#### **Upstream Carrier-to-Interference**





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### Upstream Spectrum Display Showing Laser Clipping



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### **Upstream Spectrum Analysis**

- Make sure noise floor of system is being displayed 10 db out of the spectrum analyzer noise floor
- Use peak hold to capture transients
- Use Averaging to capture CPD



#### **Impulse Noise**



- Narrowband interference
- High pk-avg, impulsive
- Possible laser overload (wideband components)
- Likely supports 16-QAM
- Insufficient for 64-QAM



### Upstream Spectrum Display Showing Laser Clipping



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#### **Return Path Constellation Analysis**



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### Upstream Path



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alignment or diplex filter roll-off Quantify linear distortions between two points

**Frequency response** 

- QAM16/QAM64
- Micro-reflections caused by impedance mismatches
- Group delay

- Non Linear operation of the Return laser
- Bit transmission errors
- Random Non Linear network events detected by Pre-Post BER

#### A Good 16 QAM Constellation



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#### **CPD and Noise**



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#### Laser Clipping



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#### Noise





#### Ingress



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#### **Adaptive Equalizers**

- Corrects for Frequency Response imperfections
- Corrects for Group Delay
- Show impedance mismatches



#### **Adaptive Equalizers**



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- Micro-reflections are impedance mismatches
- In the real world of cable networks, 75 Ω impedance is at best considered nominal
- Micro-reflections cause group delay and frequency response problems.
- Impedance mismatches are everywhere: connectors, amplifiers inputs and outputs, passive device inputs and outputs, and even the cable itself
- Upstream cable attenuation is lower than downstream cable attenuation, so upstream microreflections tend to be worse.
- Anywhere an impedance mismatch exists, some of the incident energy is reflected back toward the source



- Higher orders of modulation are affected by micro-reflections to a much greater degree so 64 QAM is affected more than 16 QAM
- Upstream micro-reflections and group delay are minimized by using adaptive equalizers. This feature is available in DOCSIS 1.1 and 2.0 & 3.0 CMTSs , but not 1.0.



### Causes:

- Damaged or missing end-of-line terminators
- Damaged or missing chassis terminators on directional coupler, splitter, or multiple-output amplifier unused ports
- Loose center conductor seizure screws
- Unused tap ports not terminated—this is especially critical on low value taps
- Unused drop passive ports not terminated
- Use of so-called self-terminating taps at feeder ends-of-line



#### Causes (cont'd):

- Kinked or damaged cable (includes cracked cable, which causes a reflection and ingress)
- Defective or damaged actives or passives (water-damaged, water-filled, cold solder joint, corrosion, loose circuit board screws, etc.)
- Cable-ready TVs and VCRs connected directly to the drop (return loss on most cable-ready devices is poor)
- Some traps and filters have been found to have poor return loss in the upstream, especially those used for data-only service





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# Amplitude Ripple (Frequency Response)

Parameter	Value
Frequency range	5 to 42 MHz edge to edge
Transit delay from the most distant CM to the nearest CM or CMTS	<=0.800 msec (typically much less)
Carrier-to-interference plus ingress (the sum of noise, distortion, common-path distortion and cross-modulation and the sum of discrete and broadband ingress signals, impulse noise excluded) ratio	Not less than 25 dB (Note 2)
Carrier hum modulation	Not greater than -23 dBc (7%)
Burst noise	Not longer than 10 µsec at a 1 kHz average rate for most cases (Notes 3 and 4)
Amplitude ripple 5-42 MHz	0.5 dB/MHz
Group delay ripple 5-42 MHz	200 ns/MHz
Micro-reflections—single echo	-10 dBc@ <= 0.5 μsec -20 dBc@ <= 1.0 μsec -30 dBc@ > 1.0 μsec
Seasonal and diurnal reverse gain (loss) variation	Not greater than 14 dB min to max



## **Frequency Response of an Upstream**

#### Carrior



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# **Group Delay**

- Different data travels through the same medium at different speeds. This is Group Delay
- Group delay is defined in units of time, typically nanoseconds (ns) over frequency. In other words how much GD per each MHz.
- In a system, network or component with no group delay, all frequencies are transmitted through the system, network or component with equal time delay
- Frequency response problems in a CATV network will cause group delay problems
- Group delay is worse near band edges and diplex filter roll-off areas

**Upstream frequency** 

- Keep the upstream QAM digitally modulated carrier well away from diplex filter roll-off areas (typically above about 35~38 MHz), where group delay can be a major problem
- Choose an operating frequency that will minimize the likelihood of group delay
   Frequencies in the 20~35 MHz range generally work well
- Group delay may still be a problem when the frequency response is flat



# **Group Delay**

Parameter	Value
Frequency range	5 to 42 MHz edge to edge
Transit delay from the most distant CM to the nearest or CMTS	CM <=0.800 msec (typically much less)
Carrier-to-interference plus ingress (the sum of noise distortion, common-path distortion and cross-modula and the sum of discrete and broadband ingress signa impulse noise excluded) ratio	, ation Not less than 25 dB (Note 2) als,
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# **Group Delay Measurement**





# **Statistics Mode**





#### Some things to check out!

- Before adding a 64 QAM carrier the following should be checked
  - Compression of the return laser due to added carrier or a carrier with added bandwidth
  - MER and BER over a period of time
  - Group Delay of a new carrier
  - MER and BER of the new carrier.
  - Amplitude Ripple
  - Microreflections



# A Case Study



#### **Upstream Spectrum**



#### **Unequalized MER**





#### **Oops!**





D = 492(VP/F) = 492(87%/≈.4MHz) ≈ 1100 feet



#### **Group Delay**



Peak to Valley Group Delay ≈ 270 nSeconds



#### **Bit Errors**





## **Effects of Over-Driving a Laser**





# Moral of the Story?

- CNR and Distortion measurements from a spectrum analyzer are great but, don't tell the whole story.
- Other digital measurements are advised using a vector analyzer to ensure QAM reliability
  - MER and BER
  - Group Delay and other Equalizer measurements
  - Constellation
  - Statistic Measurement



# **Measurement Summary**

- Check for laser clipping
- Measure over time
- Measure for frequency response of the carrier
- Measure group delay of the carrier
- Measure MER and BER of upstream carrier
- Can be accomplished by inserting a QAM carrier at the EOL and using a digital analyzer in the headend.



# **64 QAM Pre-Launch Checklist**

- ✓ CMTS modulation profile optimized for 64-QAM
- ✓ Vector Analysis, not just spectrum analysis
- Entire cable network—headend, distribution network and subscriber drops—DOCSIS-compliant
- Select upstream frequency that avoids diplex filter rolloff area
- ✓ Forward and reverse properly aligned
- ✓ Signal leakage and ingress management
- $\checkmark$  Good installation practices



## References

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- National Cable Television Association's Recommended Practices for Measurements on Cable Television Systems, 2nd Edition, October 1997 "Supplement on Upstream Transport Issues."
- <u>Modern Cable Television Technology</u>", by Walter Cicora, James Farmer and David Large
- Return Path Familiarization and Node Return Laser Setup," by Frank Eichenlaub, Cisco Systems
- Characterizing and Aligning the HFC return path for Sucessful DOCSIS 3.0 Rollouts, Dr. Robert L. Howald et all, Motorola, SCTE Cable Tech Expo 2009



# **More References**

- <u>Mystified by Return Path Activation?</u>" Get your Upstream Fiber Links Aligned, by Ron Hranac, Communications Technology, March 2000
- <u>Seek Balance in All Things</u>" A Look at Unity Gain in the Upstream Coax Plant, by Ron Hranac, Communications Technology, June 2000
- <u>A Primer on Common Path Distortion</u>, by Nick Romanick, Communications Technology, April 2001
- • •



# **Thank You!**



# The return optical link



# **Return Optics**

- We discuss this first because it has the greater impact on the MER at the CMTS input because it has the lowest dynamic range
- Optimized by measuring NPR at the input to the CMTS by injecting different total power at the input to laser.
- Carriers should be derated according to bandwidth using power per hertz.
- Not part of the unity gain portion of the HFC plant.
- Set up is laser and node specific



## **Power per Hertz Calculation**

Power per Hertz

dBmV/Hz = Total Power - 10 Log (BW) dBmV/HZ = 45 - 10 Log (37,000,000) dBmV/ Hz = 45 - 10 (7.57) dBmV/ Hz = 45 - 75.7dBmV/ Hz = -29.3

Total Power Input for 6.4 MHz 64 QAM

```
dBmV = -29.3 + 10 Log (BW)
dBmV = -29.3 + 10 Log (6,400,000)
dBmV = -29.3 + 10 (6.8)
dBmV = -29.3 + 68
dBmV = 38.7
```



# **Total Power**





# **Total Power at the input of the Analyzer**

- Several Carriers:
- Total Power = Carrier Level+10 log(#of carriers)
  - Total Power = 25 dBmV + 10 log (6)
  - Total Power = 25 + 7.78 = 32.78 dBmV



# Minimum CNR requirements to get 1E-7 BER (not good enough)

- QPSK 15 dB
- 16 QAM 22 dB
- 64 QAM 28 dB plus a window



# Finding the "X" value in the headend



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# The outside plant



# Why do we need Unity Gain?



If Unity Gain is not observed distortions and or noise build up quickly!



# Unity Gain in the forward path





### Constant outputs in the return path?

If the return amplifiers were balanced with constant outputs, the levels would vary widely by the time they got back to the headend. This is due to return amplifiers having several inputs.





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# Constant inputs instead of constant outputs for Unity Gain

In the return path, amplifier outputs are balanced for a constant input to the next amplifier upstream. This maintains Unity Gain, but makes the measurement more difficult.


### How is a reference level determined?





### Advantages of return sweep over the older methods

- Not as labor intensive as the older methods.
- Align forward and reverse with the same stop at the amplifier
- No cumbersome equipment in the field or the headend
- Minimum use of bandwidth for test equipment
- Control over the measurements
- We are aligning the entire spectrum in both directions, not just 2 carriers!



### 5 steps to set up your return path correctly

- Know your equipment
- Determine reference levels
- Determine reference points
- Optimize return lasers portion first
- Sweep coaxial portion of the plant



## Know your equipment, know your system

- Block diagrams of amplifiers, nodes, receivers, etc.
- Test Equipment
- What are the return design levels
- What are the injection points



### **Injection levels**





### **Upstream Impairments**

→ RF Ingress

### Intermodulation Distortions

- Laser Clipping
- Group Delay
- Amplitude Response



### **Common Path Distortion**

- A series of beats easily seen in the return spectrum at repetitive 6 MHz spacings
- Ingress does not cause repeatable patterns
- Usually caused by corrosion at a dissimilar metals interface acting as a diode
- Actually caused by the forward carriers and also increases distortions in the forward path
- The higher in level the forward carrier levels are at the source of the problem, the worse CPD will be



### **Corrosion & Diode Effect**



 Crystallization occurs and the corrosion creates thousands of small diodes between the two metals

 Diodes are nonlinear devices that can act as frequency "mixers" in a CATV plant

### **Frequency Mixing**

# Mixing two frequencies (F1 & F2) will yield four results:

F155.25 MHzF261.25 MHzF1 + F2116.50 MHzF2 - F16.00 MHz





### **Common Path Distortion**

- A corroded connection causes mixing
- mismatch also causes
- The mixing products are reflected right back into the return amplifier.

### **CPD in 6 MHz Intervals**

 Because the channels in the forward system are 6 Mhz apart, the sum and difference frequencies occurr at 6 MHz intervals as well.





#### **CPD common sources**

- Loose or over-tightened seizure screws
- Loose hold down screws on modules and circuit boards
- Feed through connectors
- Loose and corroded terminators on taps
- Bad line terminators on taps
- Anything that allows moisture to enter a device



### **CPD troubleshooting tips**

- When return sweeping, set up sweep from 5-50 MHz
- Check distortions on the forward path above your highest channel
- Once the feeder leg is found, troubleshoot from the termination and work back toward the amplifier



### Ingress

- Ingress is a combination of random and periodic noise and discrete signals leaking into the cable
- Usually generated in the customer's home
- Excessive ingress can cause the return laser to clip, but not usually
- Ingress from anywhere affects the entire system
- One bad egg takes down the node



### Where does Ingress come from?

### Upstream Over-The-Air Spectrum, 5-30 MHz





Source: NTIA (http://www.ntia.doc.gov/osmhome/allochrt.pdf)

### **CB Interference**





### **Impulse Noise**

- Short duration usually less than 100 micro seconds.
- Use peak hold
- Sources:
  - Ignitions
  - Arc Welders
  - Vacuum Cleaners
  - Electric Motors





## Ingress is always worse from the lower value taps!





### How can we minimize ingress?

- Quality cable and connectors
- Good installation practices
- Better than mandatory leakage program
- Taps with equalizers



### **Troubleshooting Goals**

- To be able to localize problems without taking the system down!
- Identify problems from the field without a trip to the hub or headend first.
  - Visability into at the hub or headend the return path from the field



### Troubleshooting

- Isolate the node
- Isolate the feeder
- Isolate the tap



### **Troubleshooting Hints and Tools**

- Know your test equipment
- Know your amplifier configurations
- Low pass filter on the spectrum analyzer
- AC blocking seizure screw probe
- Tap jumpers
- Return Path problems have relative levels depending on where they are being measured



### **Using a Low Pass Filter**



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### **3010R Return Spectrum**







### **Typical Node RF Block Diagram**







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#### **Difference Between Ports on an**













### Ingress at the input and output seizure

Spectrum Analyzer

Test Point Loss: 0 dB



### **CPD** and a carrier





### **Constellation Analysis**





### **MER, A Better Measurement**

- A better parameter than SNR is modulation error ratio (MER) or error vector magnitude (EVM)
- MER takes into account:
  - CNR
  - Phase Noise (jitter of phase of QAM modulator's carrier)
  - Intermod Distortions
  - Compression of Lasers and Amplifiers
  - Frequency Response
  - THE SUM OF ALL EVILS
- MER is a single figure of merit for the quality of an RF QAM modulated signal.
- MER and EVM are the same thing. MER is expressed in dB; EVM is expressed in %.
- Can be directly linked to BER



### **Vectors and QAM**



### **Vectors and QAM**



### **Introduction to BER**

- Bit Error Rate (BER) is an important concept to understand in any digital transmission system since it is a major indicator of the quality of the digital system.
- As data is transmitted some of the bits may not be reproduced at the receiver correctly. The more bits that are incorrect, the more the signal will be affected.
- BER is a ratio of incorrect bits to the total number of bits measured.
- Its important to know what portion of the bits are in error so you can determine how much margin the system has before failure.



### What is BER?

- BER is defined as the ratio of the number of wrong bits over the number of total bits.
- BER is measured by sending a known string of bits and then counting the errored bits vs. the total number of bits sent.
- This is technically an out of service measurement.




## What is BER?

- BER is normally displayed in Scientific Notation.
- The more negative the exponent, the better the BER.
- Better than 1.0E-6 is needed after the FEC for the system to operate.
- The only thing you need to remember is the higher the negative exponent the better

Decimal	Scientific Notation	Lower	Decimal	Scientific Notation
1	1.0E+00		0.00001	1.0E-05
0.1	1.0E-01	and	0.000009	9.0E-06
0.01	1.0E-02	Better	0.000008	8.0E-06
0.001	1.0E-03	BER	0.000007	7.0E-06
0.0001	1.0E-04		0.000006	6.0E-06
0.0001	1.0E-05		0.000005	5.0E-06
0.00001	1.0E-06		0.000004	4.0E-06
0.000001	1.0E-07		0.000003	3.0E-06
0.0000001	1.0E-08		0.000002	2.0E-06
0.00000001	1.0E-09		0.000001	1.0E-06
			-	



## **Noise and Intermittents**

- Errors caused by noise or intermittent causes can have the same BER, but very different effects.
- Errors that are spread out are due to noise problems
- Errors that are grouped are due to intermittent problems such as ingress or loose connectors.

Spaced Errors11011010110011100Burst Errors1111101011101101101

This Example Shows the Same Error Rate But the Burst Errors are More Difficult to Correct

